



PATENT
Attorney Docket No. 1210/91535

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In Re U.S. Patent Application |) | Confirmation No.: 9012 |
| |) | |
| Applicant: Klaus Beck |) | |
| |) | |
| Serial No.: 10/779,392 |) | |
| |) | |
| Filed: February 13, 2004 |) | |
| |) | |
| For: ADJUSTMENT ARRANGEMENT OF |) | |
| AN OPTICAL ELEMENT |) | |
| |) | |
| Examiner: Joseph P. Martinez |) | |
| |) | |
| Art Unit: 2873 |) | |
| |) | |

INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313

Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents is disclosed on the attached Form PTO-1449 that may be material to the examination of this application. The listed Documents are enclosed herewith.

No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three

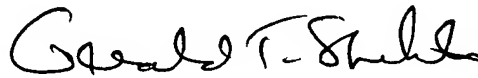
months prior to the filing of the information disclosure statement.

The cited document(s) disclose numerous specific features. There has been no attempt to list each and every feature disclosed by each document. The Examiner is requested to review the document(s) and determine the extent of the materiality of the document disclosures with respect to the present invention.

The discussion of any art and the citation of any document(s) herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

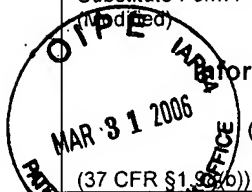
Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and document(s) recited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application. The recitation herein of the art and document(s) is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted,
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|  | Substitute Form PTO-1449 (Updated) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 1210/91535 | Application No. 10/779,392 |
| | Information Disclosure Statement by Applicant (Use several sheets if necessary) | | Applicant Holderer et al. | |
| | | | Filing Date February 13, 2004 | Group Art Unit 2873 |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|-----------------|-------|----------|----------------------------|
| | AA | 6,191,898 | 02/20/2001 | Trunz et al. | | | |
| | AB | 6,229,657 | 05/08/2001 | Holderer et al. | | | |
| | AC | 6,259,571 | 07/10/2001 | Holderer et al. | | | |
| | AD | 6,307,688 | 10/23/2001 | Merz et al. | | | |
| | AE | 6,388,823 | 05/14/2002 | Gaber et al. | | | |
| | AF | 6,392,825 | 05/21/2002 | Trunz et al. | | | |
| | AG | 6,445,516 | 09/03/2002 | Osterried | | | |
| | AH | 6,552,862 | 04/22/2003 | Dieker | | | |
| | AI | 6,580,570 | 06/17/2003 | Becker et al. | | | |
| | AJ | 6,816,325 | 11/09/2004 | Becker et al. | | | |
| | AK | 6,867,848 | 03/15/2005 | Ebinuma et al. | | | |
| | AL | 2004/0105177 | 6/3/2004 | Ebinuma | | | |
| | AM | 2005/0002011 | 1/6/2005 | Sudoh | | | |

Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | | | | | | | | |
| | | | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|---|
| | AN | Tomohiro Hoshino et al., "Improving ArF Lens performance and New generation high NA KrF optical system", <u>Proceedings of SPIE</u> , Vol. 5377, pp. 768-776 (2004) |
| | BA | Yuji Sudoh et al., "A New Lens Barrel Structure Utilized on the FPA-6000AS4 and its Contribution to the Lens Performance", <u>Proceedings of SPIE</u> , Vol. 5040, pp. 1657-1664 (2003) |
| | BB | Canon Semiconductor Equipment – "Pushing ARF to the limits", DNS Lithography Breakfast Forum at SEMICON West 2003; Phil Ware – Senior Fellow – Canon USA (July 2003) |

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|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |